Ø 001

PTO/SB/30 (09-03)

Approved for use through 07/31/2008, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1895, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Request Request 09/724,406 Application Number for November 28, 2000 Continued Examination (RCE) Filing Date Francisco et al. Transmittal First Named Inventor Art Unit

Address to: Mail Stop RCE Commissioner for Patents Yu, Misook Examiner Name P.O. Box 1450 Alexandria, VA 22313-1450 Attorney Docket Number This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2. Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. i. Consider the arguments in the Appeal Brief or Rely Brief previously filed on _ Other b. [] Enclosed 1. Amendment/Reply Information Disclosure Statement (IDS) Affidavit(s)/ Declaration(s) ., fee transmittal Miscellaneous Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required) The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. Fees The Director is hereby authorized to charge the following fees, or credit any overpayments, to ~ Deposit Account No. 502900 i. RCE fee required under 37 CFR 1.17(e) Extension of time fee (37 CFR 1.136 and 1.17) ii. Other iii. Check in the amount of \$__ Payment by credit card (Form PTO-2038 enclosed) WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED Name (Print/Type) Vita G. Conforti Registration No. (Attorney/Agent) 39,639 Date July 15, 2004 GERTIFICATE OF MAILING OR TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below. Name (Print/Type) Vita & Conforti Signature

Signature

This collection of information is required by 37 GFR 114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you peed assistance in completing the form cell 1-800-PTO-9199 and select option 2.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Signature

PTO/\$B/30 (09-03) Approved for use through 07/31/2008. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Request 09/724,406 Application Number for Filing Date November 28, 2000 Continued Examination (RCE) Francisco et al. **Transmittal** First Named Inventor Address to: 1642 Art Unit Mail Stop RCE Commissioner for Patents Yu. Misook Examiner Name P.O. Box 1450 Alexandria, VA 22313-1450 Attorney Docket Number This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2. Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. Consider the arguments in the Appeal Briof or Rely Brief previously filed on Other b. [4] Enclosed Amendment/Reply i. Information Disclosure Statement (IDS) ij. Affidavit(s)/ Declaration(s) Other postcard, fee transmittal 2 Miscellaneous Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of ______ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required) b. 1 The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. Fees The Director is hereby authorized to charge the following fees, or credit any overpayments, to a. 🖊 Deposit Account No. 502900 RCE fee required under 37 CFR 1.17(e) Extension of time fee (37 CFR 1.136 and 1.17) Other ilf. Check in the amount of \$ ___ enclosed Payment by credit card (Form PTO-2038 enclosed) WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED Name (Print/Type) Vita G, Conforti Registration No. (Attorney/Agent) 39,639 Signature Date July 15, 2004 **QERTIFICATE OF MAILING OR TRANSMISSION** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below. Name (Print/Type) Vita G. Conforti

حمت Date July 15, 2004

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-03) Approved for use through 07/31/2006, OMB 0851-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE o a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to FEE TRANSMITTAL Complete if Known 09/724,406 **Application Number** November 28, 2000 for FY 2004 Filing Date First Named Inventor Francisco et al. Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Yu, Misook Applicant claims small entity status. See 37 CFR 1.27 Art Unit 1642 TOTAL AMOUNT OF PAYMENT (\$) 860.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Check Credit card Money Other 3. ADDITIONAL FEES None Large Entity , Small Entity Deposit Account: Deposit Fee Fee Description (\$) Code Code 502900 Account Number Fee Pald 1051 2051 130 65 Surcharge - late filing fee or oath Deposit Account Seattle Genetics, Inc. 1052 50 2052 25 Surcharge - late provisional filing fee or Name cover sheet 1053 130 The Director is authorized to: (check all that apply) 1053 130 Non-English specification Charge fee(s) indicated below Credit any overpayments 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Charge any additional fee(s) or any underpayment of fee(s) Requesting publication of SIR prior to 1804 9201 1804 920* Examiner action Charge fee(s) indicated below, except for the filing fee 1805 Requesting publication of SIR after 1,840 1805 1,840* to the above-identified deposit account. **FEE CALCULATION** 1251 110 2251 55 Extension for reply within first month 1252 210 Extension for reply within second month 1. BASIC FILING FEE 420 2252 arge Entity Small Entity 1253 475.00 950 2253 475 Extension for reply within third month Fee Fee Cade (\$) Fee Pald <u>Fee Fee</u> Code (\$) Fee Description 1254 1,480 2254 740 Extension for reply within fourth month 1001 770 2001 385 Utility filing fee 1255 2.010 2255 1,005 Extension for reply within fifth month 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1003 530 2003 265 Plant filing fee 1402 330 165 Filling a brief in support of an appeal 2402 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1005 160 2005 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding SUBTOTAL (1) (\$) 1452 55 Petition to revive - unavoidable 110 2452 1453 1.330 2453 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 665 Petition to revive - unintentional 1501 1,330 2501 665 Utility issue fee (or reissue) Ext<u>ra Claims</u> Fee Paid below 1502 480 2502 240 Design issue fee Total Claims 1503 640 2503 320 Plant Issue fee Independent 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) arge Entity (Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Description Code (\$) Code (\$) 40 Recording each patent assignment per property (times number of properties) 8021 40 8021 1202 18 2202 Claims in excess of 20 1809 770 385 Filing a submission after final rejection (37 CFR 1.129(a)) 2809 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1204 86 2204 43 Reissue Independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 385 00 1205 ** Reissue claims in excess of 20 18 2205 9 1802 1802 900 Request for expedited examination 900 and over original patent of a design application Other fee (specify) SUBTOTAL (2) (\$) Reduced by Basic Filing Fee Paid or number previously paid, if greater; For Reissues, see above

SUBMITTED BY (Complete (if applicable)) Vita, G. Conforti Name (Print/Type) Registration No. 39,639 Telephone 425 527 4122 (Attornay/Agent) CMYCAT Signature July 15, 2004

SUBTOTAL (3)

(\$) 860.00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on inclosing gamening, preparing, and submitting the completed application form to the USPTO. This was very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid QMB control number. Complete if Known FEE TRANSMITTAL 09/724.406 Application Number Filing Date November 28, 2000 for FY 2004 First Named Inventor Francisco et al. Effective 10/01/2003. Patent fees ere subject to annual revision. Examiner Name Yu, Misook Applicant claims small entity status. See 37 CFR 1.27 Art Unit 1642 (\$) 860.00 TOTAL AMOUNT OF PAYMENT Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Check Credit card Other 3. ADDITIONAL FEES None arge Entity | Small Entity Deposit Account: Fee Code Fee (\$) Fee Code Fee Description Deposit (2) 502900 Account Fee Paid Number 1051 130 2051 65 Surcharge - late filing fee or oath Deposit 1052 50 2052 Surcharge - late provisional filing fee or Seattle Genetics, Inc. 25 Account Name cover sheet 1053 130 1053 130 Non-English specification The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below Credit any overpayments 1804 1804 920* Requesting publication of SIR prior to Examiner action 920 Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee 1805 1.840 1805 1.840* Requesting publication of SIR after to the above-identified deposit account Examiner action 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** 1252 420 2252 210 Extension for reply within second month 1. BASIC FILING FEE 475.00 1253 arge Entity Small Entity 950 2253 475 Extension for reply within third month Fee Paid Fee Fee Code (\$) Fee Description Fee Fee Code (3) 1254 1,480 2254 740 Extension for reply within fourth month 1001 770 2001 385 Utility filing fee 1255 2.010 2255 1,005 Extension for reply within lifth month 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for orat hearing 1005 160 2005 Provisional filing fee 1451 1,510 1451 1,510 Pelition to institute a public use proceeding SUBTOTAL (1) (\$) 1452 110 2452 55 Petition to revive - unavoldable 1453 665 Petition to revive - unintentional 1.330 2453 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 665 Utility issue fee (or reissue) 1,330 2501 Fee from Extra Claims below Fee Paid 1502 480 2502 240 Design issue fee Total Claims 1503 2503 840 320 Plant Issue fee Independent 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Description Code (\$) 40 Recording each patent assignment per Code (\$) 8021 40 8021 1202 property (times number of properties) 18 2202 Claims in excess of 20 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 1201 86 43 Independent claims in excess of 3 1203 290 Multiple dependent claim, if not paid 1810 770 385 For each additional invention to be 2810 examined (37 CFR 1,129(b)) 1204 86 2204 ** Reissue independent claims 43 over original patent 1801 770 2801 385 Request for Continued Examination (RCE) ** Reissue claims in excess of 20 1205 18 2205 900 Request for expedited examination of a design application 1802 900 1802 and over original patent Other fee (specify) SUBTOTAL (2) *Reduced by Basic Filing Fee Paid or number previously paid, if greater; For Reissues, see above (\$) 860.00 SUBTOTAL (3) SUBMITTED BY (Complete (if applicable)) Name (Print/Type) Vita G. Conforti Registration No. 39,639 Telephone 425 527 4122

> WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on including gathering in the Chief Information Officer 11.5 Patient and the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Attorney/Agent)

July 15, 2004

Dete

ıħι

Signature

contat